

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior Application: Y. KAWAMURA et al  
Serial No. 09/754,193  
Filed: January 5, 2001

Group Art: 3723  
Examiner: M. Rachuba  
For: METHOD FOR POLISHING SURFACE OF  
SEMICONDUCTOR DEVICE SUBSTRATE

INFORMATION DISCLOSURE STATEMENT

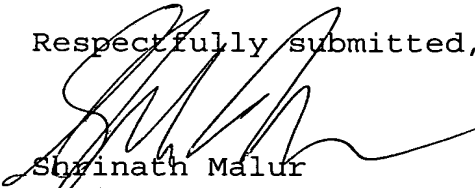
Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, the Applicants inform the Examiner of the documents cited during prosecution of the parent application, USSN 09/754,193.

The Applicants request the Examiner to initial and return a copy of the attached PTO-1449 form as an indication that the references have been considered.

Respectfully submitted,



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Date: September 12, 2003

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ASA-955-02	SERIAL NO.			
<b>LIST OF DOCUMENTS CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>				APPLICANT Y. KAWAMURA et al				
				FILING DATE September 12, 2003	GROUP			
<b>U.S. PATENT DOCUMENTS</b>								
* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE <i>(If Appropriate)</i>	
	AA	5,486,129	01/1996	Sandhu et al				
	AB	5,643,061	07/1997	Jackson et al				
	AC	5,762,544	06/1998	Zuniga et al				
	AD	5,795,215	08/1998	Guthrie et al				
	AE	6,000,339	12/1999	Matsuzawa, Jun				
	AF	6,093,091	07/2000	Keller, Thomas				
	AG	6,106,379	08/2000	Mosca, Joseph				
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	AI	6,241,591	06/2001	Jackson et al				
	AJ	6,251,215	06/2001	Zuniga et al				
	AK	6,267,655	07/2001	Weldon et al				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATIO	
							YES	NO
	AL	10-315125	12/2/98	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AM	10-286758	10/27/98	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AN	9-267257	10/14/97	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AO	6-155286	6/3/94	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AP	7-314298	12/5/95	Japan			<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>								
	AR							
	AS							
	AT							
EXAMINER				DATE CONSIDERED				

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				FILING DATE September 12, 2003	GROUP		
<b>U.S. PATENT DOCUMENTS</b>							
* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE <i>(If Appropriate)</i>
	AA	6,316,364	11/2001	Kubo, Akira			
	AB						
	AC						
	AD						
	AE						
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<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATIO
							YES NO
	AL	9-117860	5/6/97	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AM	9-232260	9/5/97	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AN	11-277417	10/12/99	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AO	11-163103	6/18/99	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AP	1148538	10/2991	EP			<input checked="" type="checkbox"/> <input type="checkbox"/>
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>							
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	AT						
EXAMINER				DATE CONSIDERED			